

COMPANY REGISTRATION BASIC COMPANY DETAILS

If you are an Appointed Representative of an authorised network or principle, please provide their full name, address and Financial Services Register number.

Company name (as registered with the FCA)	<input type="text"/>
Trading name (if different from above)	<input type="text"/>
Financial Services Register Number	<input type="text"/>
Correspondence Address	<input type="text"/>
Postcode	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>

Company name (as registered with the FCA)	<input type="text"/>
Trading name (if different from above)	<input type="text"/>
Financial Services Register Number	<input type="text"/>
Correspondence Address	<input type="text"/>
Postcode	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>

BANK DETAILS FOR PAYMENT OF PROCURATION FEES

Bank name	<input type="text"/>
Bank address	<input type="text"/>
Postcode	<input type="text"/>

Account name	<input type="text"/>
Account number	<input type="text"/>
Sort code	<input type="text"/>

COMPLIANCE ARRANGEMENTS

Is your Compliance overview completed: (please tick to confirm)

In house

Outsourced

If outsourced please state provider, the nature and frequency of checks carried out.

If in-house, please state what compliance checks you have in place and the frequency of checks.

- I/We hold current authorisation from the Financial Conduct Authority (FCA) for advising on and arranging mortgages; or
- I/We am/are Appointed Representative(s) of an organisation that is authorised by the FCA for conducting such activities.
- I/We will notify The Cumberland Building Society if I am/we are investigated for breach of the Financial Services and Markets Act 2000, investigated by any other regulatory body or if my/our registration is terminated.
- I/We will uphold the principles of Consumer Duty at all times.
- I/We acknowledge that if an 'Agreement in Principle' is issued in respect of any mortgage, it is not binding.
- I/We accept that where we have identified payment via an associated mortgage club, The Cumberland Building Society will release case information direct to the nominated club as required.

Name of person who completed
this form on behalf of your business

Their job title

Signed

Dated